

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
 - ☒ Copies of the cited references AD, AA2, AF, and AO-AR are enclosed.
 - ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
 - ☒ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
 - ☐ The "concise explanation" requirement (non-English references) for reference(s) [] under 37 CFR 1.98(a)(3) is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
 - ☐ the enclosed English language abstract.

☐ Applicant requests that the following non-published pending applications be considered:

Examiner's
Initials

_____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

_____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

_____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

Examiner

Date

☐ A copy of each above-cited application, including the current claims, is enclosed.

☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:


☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.

☐ Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed.

☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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Concord, MA 01742-9133

Dated: 10/4/02

ATTORNEY DOCKET NO.
3421.1005-001APPLICATION NO.
09/825,512

RECEIVED

SUPPLEMENTAL
INFORMATION DISCLOSURE CITATION
ON AN APPLICATION

OCT 07 2002

October 4, 2002

(Use several sheets if necessary)

APPLICANT

Isaac Jesus Rondon, et al.

OCT 09 2002

FILING DATE

April 3, 2001

CONFIRMATION NO.
2019TECH CENTER 1600/2900
1645

U.S. PATENT DOCUMENTS

| EXAM- INER INI- TIAL | DOCUMENT NUMBER | ISSUE DATE / PUBLICATION DATE | NAME |
|-------------------------------|-----------------|----------------------------------|-------------------|
| AD | 5,912,334 | 15 JUN 99 | Kato et al. |
| AA2 | 5,977,315 | 02 NOV 99 | Chatterjee et al. |
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FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION YES NO |
|----|-----------------|-----------|---------|-------|---------------|-----------------------|
| AF | WO 96/41172 | 19 DEC 96 | PCT | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|----|---|
| AO | Inal, J.M., et al., "Schistosoma TOR (Trispanning Orphan Receptor), a Novel, Antigenic Surface Receptor of the Blood-Dwelling, <i>Schistosoma</i> Parasite," <i>Biochim. Biophys. Acta.</i> 1445:283-298 (1999). |
| AP | Lawler, J. and Hynes, R.O., "The Structure of Human Thrombospondin, an Adhesive Glycoprotein with Multiple Calcium-Binding Sites and Homologies with Several Different Proteins," <i>J. Cell. Biol.</i> 103:1635-1648 (1986). |
| AQ | Imbach, T., et al., A Mutation in the Human Ortholog of the <i>Saccharomyces cerevisiae</i> ALG6 Gene Causes Carbohydrate-Deficient Glycoprotein Syndrome Type-Ic," <i>Proc. Natl. Acad. Sci. USA</i> 96:6982-6987 (1999). |
| AR | Chatterjee, S.K., et al., "Molecular Mimicry of Carcinoembryonic Antigen by Peptides Derived from the Structure of an Anti-Idiotypic Antibody," <i>Cancer Res.</i> 58:1217-1224 (1998). |
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EXAMINER

DATE CONSIDERED



A DOPHOENIX

APPL PARTS

____ IMIS _____
Internal Misc. Paper

____ LET. _____
Misc. Incoming Letter

____ 371P _____
PCT Papers in a 371 Application

____ A... _____
Amendment Including Elections

____ ABST _____
Abstract

____ ADS _____
Application Data Sheet

____ AF/D _____
Affidavit or Exhibit Received

____ APPENDIX _____
Appendix

____ ARTIFACT _____
Artifact

____ BIB _____
Bib Data Sheet

____ CLM _____
Claim

____ COMPUTER _____
Computer Program Listing

____ CRFL _____
All CRF Papers for Backfile

____ DIST _____
Terminal Disclaimer Filed

____ DRW _____
Drawings

____ FOR _____
Foreign Reference

____ FRPR _____
Foreign Priority Papers

____ IDS _____
IDS Including 1449

____ NPL _____
Non-Patent Literature

____ OATH _____
Oath or Declaration

____ PET. _____
Petition

____ RETMAIL _____
Mail Returned by USPS

____ SEQLIST _____
Sequence Listing

____ SPEC _____
Specification

____ SPEC NO _____
Specification Not in English

____ TRNA _____
Transmittal New Application

____ CTNF _____
Count Non-Final

____ CTRS _____
Count Restriction

____ EXIN _____
Examiner Interview

____ M903 _____
DO/EO Acceptance

____ M905 _____
DO/EO Missing Requirement

____ NFDR _____
Formal Drawing Required

____ NOA _____
Notice of Allowance

____ PETDEC _____
Petition Decision

OUTGOING

____ CTMS _____
Misc. Office Action

____ 1449 _____
Signed 1449

____ 892 _____
892

____ ABN _____
Abandonment

____ APDEC _____
Board of Appeals Decision

____ APEA _____
Examiner Answer

____ CTAV _____
Count Advisory Action

____ CTEQ _____
Count Ex parte Quayle

____ CTFR _____
Count Final Rejection

INCOMING

____ AP.B _____
Appeal Brief

____ C.AD _____
Change of Address

____ N/AP _____
Notice of Appeal

____ PA.. _____
Change in Power of Attorney

____ REM _____
Applicant Remarks in Amendment

____ XT/ _____
Extension of Time filed separate

Internal

____ SRNT _____
Examiner Search Notes

____ CLMPTO _____
PTO Prepared Complete Claim Set

____ ECBOX _____
Evidence Copy Box Identification

____ WCLM _____
Claim Worksheet

____ WFEE _____
Fee Worksheet

File Wrapper

____ FWCLM _____
File Wrapper Claim

____ IIFW _____
File Wrapper Issue Information

____ SRFW _____
File Wrapper Search Info

BACKFILE DOCUMENT INDEX SHEET